



3624

PATENT

36
10/21/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ronald E. Sloan

Serial No.: 09/580,273

Filed: May 25, 2000

Title: SYSTEM, METHOD AND ARTICLE OF MANUFACTURE FOR FORECASTING AND STRESS TESTING USING A NETWORK-BASED PERSONAL INVESTMENT MANAGER

Examiner: K. Campen

Art Unit: 3624

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Docket No.: 60021-356901

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

I hereby certify under 37 CFR 1.8 that this correspondence is being transmitted by facsimile to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 via the facsimile number 703-872-9306 on September 23, 2003.

Ann Pommier
Ann Pommier

RESPONSE TO ELECTION/RESTRICTION REQUIREMENT

Dear Sir:

In the present application, an Office Action was mailed on September 8, 2003, which included a restriction requirements under 35 U.S.C. 121. Specifically, claims 8-12 were alleged to be directed to a code segment, while claims 13-18 were alleged to be directed toward logic for generating the forecast, and claims 1-6 were alleged to be directed toward a step of generating the forecast and stress analysis.

In response to this Office Action, Applicant selects the following species:

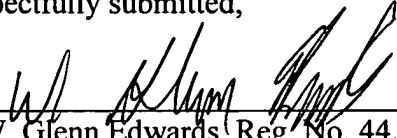
- 1) Code Segment: Applicant elects claim 10. Applicant asserts that contrary to the Examiner's statement, claim 7 is generic.
- 2) Logic: Applicant elects claim 16. Applicant asserts that contrary to the Examiner's statement, claim 13 is generic.
- 3) Step of Generation: Applicant elects claim 4. Applicant asserts that contrary to the Examiner's statement, claim 1 is generic.

REMARKS

However, should further issues or questions arise, the Examiner is respectfully requested to contact W. Glenn Edwards at (612) 607-7278.

Respectfully submitted,

By


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